# A Novel Framework for Highlight Reflectance Transformation Imaging

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# Abstract

We propose a novel pipeline and related software tools for processing the multi-light image collections (MLICs) acquired in different application contexts to obtain shape and appearance information of captured surfaces, as well as to derive compact relightable representations of them. Our pipeline extends the popular Highlight Reflectance Transformation Imaging (H-RTI) framework, which is widely used in the Cultural Heritage domain. We support, in particular, perspective camera modeling, per-pixel interpolated light direction estimation, as well as light normalization correcting vignetting and uneven non-directional illumination. Furthermore, we propose two novel easy-to-use software tools to simplify all processing steps. The tools, in addition to support easy processing and encoding of pixel data, implement a variety of visualizations, as well as multiple reflectance-model-fitting options. Experimental tests on synthetic and real-world MLICs demonstrate the usefulness of the novel algorithmic framework and the potential benefits of the proposed tools for end-user applications.

*Keywords:* Multi Light Image Collections, Highlight Reflectance Transformation Imaging, Photometric Stereo, Image Enhancement

#### 1 1. Introduction

Multi-light image collections (MLICs) are an effective mean to gather detailed information 2 on the shape and appearance of objects. They are, thus, widely used in many application contexts. 3 The basic idea of the approach is to visually characterize objects by capturing multiple images of the surface of interest from a fixed point of view, changing the illumination conditions 5 at each shot. The acquired data is then processed to extract shape and material information. 6 While some techniques exist for general variable environmental illumination [1, 2], the most widespread approach in most application fields, including Cultural Heritage (CH) [3], medical 8 interventions [4, 5], and underwater data gathering [6], considers a single calibrated camera tak-9 ing multiple images of a scene illuminated by a single moving light. A large variety of efficient 10 computational tools have been devised in this context to extract information from the captured 11 12 image stack in order to effectively solve different problems, such as feature detection and enhancement, reconstruction of normals and 3D shapes, and creation of relightable images. 13

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Photometric Stereo (PS) is probably the most widely known technology based on MLICs. 14 It exploits priors on reflectance functions to derive local normals and global 3D shapes [7]. Re-15 flectance Transformation Imaging (RTI) [8, 9, 10, 11] extends the PS idea by interpolating MLIC 16 reflectance data with parametric functions (Polynomial Texture Maps, PTM; Hemispherical Har-17 monics, HSH; Discrete Modal Decomposition, DMD), which can be used to estimate and display 18 images relighted from arbitrary angles and incorporating other image enhancements for visually 19 revealing surface details not detectable from a single view [10, 12, 13]. Other techniques try, 20 rather, to improve understanding by highlighting specific making surface and material proper-21 ties. For instance, Raskar et al. [14] exploited multi-light images to extract depth edges with a 22 simple heuristics and used the result to create non-photorealistic rendering methods, while Fattal 23 et al. [15] used them to generate enhanced images emphasizing shape and surface detail. 24

RTI is possibly the most widely applied MLIC technique. This kind of imaging has rapidly become a widely used solution for the documentation, recording and decoding of Cultural Heritage (CH) objects, as it supports an offline analysis of the artifacts, supporting and going beyond simulated raking light analysis, and allows the estimation of image enhancements emphasizing details [16, 17]. Furthermore, the reflectance interpolation coefficients derived from MLIC processing, or the image features extracted from the image stack, can be used to characterize and classify materials, as shown in a number of works [18, 19, 20].

The widespread use of RTI for visual surface characterization, especially in the CH domain, is also due to the fact that it can be performed with a low-cost, flexible, and easy to use setup based on freehand light positioning and highlight-based light direction estimation (H-RTI) [3]. In the H-RTI image-capture technique, the reflection of the light source on one or more reflective spheres visible in each shot enables the processing software to calculate the light direction for each image, providing great robustness and flexibility in subject size and location.

The classic H-RTI acquisition setup and processing pipeline, however, are based on strong 38 assumptions on lights (ideally constant in direction and intensity) and camera model (ortho-39 graphic), not necessarily matching typical acquisition conditions [3, 21]. In particular, due to the 40 lack of uniformity in illumination intensity and direction, the results obtained with this simple 41 setup may vary widely between acquisitions, and may be unsuitable for quantitative analyses, 42 which include normal estimation, roughness or material segmentation/classification, as well as 43 monitoring over time. Exploitation of H-RTI data is thus often limited to rough qualitative anal-44 ysis of single acquisitions. 45

In this article, we revise the H-RTI approach, presenting a novel practical setup and a set of tools that relax the aforementioned strong assumptions. Our solution offers a better support for qualitative analysis of MLICs and enables the addition of quantitative analysis on top of the classic RTI method. Our main contributions are the following:

a novel practical setup and processing pipeline that can cope with the effects of perspective camera distortion, non-point lights, spatially varying illumination, variable light distance, as well as camera vignetting. Per-pixel light directions are estimated from highlights on multiple reflective spheres, taking into account perspective correction and performing direction interpolation, while illumination variations are compensated by an algorithm exploiting light intensity measured on matte white targets positioned around the object of interest.

• An easy to use tool to perform/control all the processing pipeline, not requiring to rely on external image processing applications and storing reordered pixel information with associated light directions in a dedicated structure that can be effectively used for post processing (e.g. Photometric Stereo, RTI, feature detection, and visualization).

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• An easy to use tool to complete the pipeline with enhanced visualizations, as well as with shape and material information recovery operations.

Our novel combination of several flexible auto-calibration techniques into a single framework aims to provide a significant step towards a practical quantitative and repeatable analysis using simple and low-cost free-form acquisition strategies.

The paper is organized as follows. Sec. 2 provides a quick overview of existing RTI acquisition setups and light calibration approaches, while in Sec. 3 our algorithmic contribution is described. The tools that implement the proposed approach are presented in Sec. 4. Sec. 5 demonstrates, with experimental tests, the advantages of our improved pipeline, as well as the potential advantages of its use for practical applications.

#### 71 2. Related work

Multi-light acquisition, processing, and analysis are broad research subjects, and a full review
 is out-of-scope for this article. We concentrate here only on the most-related methods to perform
 RTI acquisition and processing. For a wider coverage, we refer the reader to established surveys
 in surface reflectance capture [22], multi-light computational frameworks [7], digital modeling
 of material appearance [23], and geometric analysis in cultural heritage [24].

A wide variety of RTI acquisition setups exist, ranging from low-cost and transportable kits [21] to different sizes of fixed light domes[21, 25, 26]. Recently, some dome solutions have been presented that use both visible and invisible light wavelengths [27, 28]. Dome solutions allow for pre-calibration of lights, but they are, in general, expensive and not flexible, thus limiting the potential changes in light numbers, positions and types, and the size of captured surfaces.

Our goal is, rather, to improve the classic hand-held light capture, which is low-cost, simple to implement, and allows for a more flexible choice of the number and the positions of the light sources; these factors are very important, for example, when dealing with non-Lambertian, shiny materials. Moreover, it is easy to extend the presented pipeline to the multi- or hyper-spectral domain at a much lower cost than multiple-light setups, which can easily require hundreds of illuminators or filters.

Free-form hand-held setups are widely used in the Cultural Heritage domain as powerful 88 89 Computational Photography tools by many end users, especially to create relightable images and for detail enhancement. This large diffusion is mainly due to publicly available packages such as 90 RTIBuilder and RTIViewer [21], which employ the H-RTI capture setup and use manual annota-91 tion of reflective spheres and highlight-based light direction estimation. However, these tools rely 92 on limiting assumptions about lighting and camera, i.e., uniform and far point light (collimated 93 rays) and orthographic camera with an ideal lens. Since the computation of surface attributes 94 leads to significant errors and provides variable results for each acquisition, the applications of 95 this method for geometrical reconstruction, material acquisition, and quantitative analysis are 96 limited. Conversely, we want to adopt here more realistic lighting and camera models, taking 97 into account optical effects such as vignetting, non-uniform light emission, and light attenuation 98 with distance. 99

The calibration of real illumination is a well-known topic in Computer Vision, and, specifically, in the Photometric Stereo (PS) field [7]. While some methods try to implicitly consider

real light sources within the particular PS framework [29, 30], others are more focused on the ex-102 plicit calibration of various lighting properties. Some methods make assumptions on light form 103 factor, e.g., near point light [31] or linear light source [32], and try to exploit the illuminated 104 scene to extract the light position and direction. For instance, Ahmad et al. [31] exploit diffused 105 maxima regions in the framed model, and derive from them the light directions. Others perform 106 calibration by sampling light on calibration targets of known shape and albedo (e.g., reflective 107 spheres or diffuse targets). Corsini et al. [33] use high-dynamic range images of two reflective 108 balls to acquire the spatially-varying illumination of a real-world scene, and it focuses more on 109 the environment light effect rather than of the computation of a per-pixel light direction and in-110 tensity. Ackermann et al. [34] present a study and validation through error statistics of both a 111 forward and backward geometric point light source calibration by using sets of different num-112 bers of reflective spheres. Although it proposes a very simple and robust way to compute light 113 direction, it considers a point light model without taking into account non-uniform light inten-114 sity. Other methods strongly rely on a specific, fixed light form factor (e.g., LED light [35, 36], 115 and model the intensity with the corresponding fall-off due to both distance and angle to the light 116 principal axis. Xie et al. [36] also consider vignetting effects. Unfortunately, those methods are 117 not applicable to the case of a general variable illumination due to non-ideal lamps or lenses. 118 Some works thus try to cope with non-uniform intensity without imposing an analytical light 119 model [37, 38]. Similarly to us, they use a flat reference object with known albedo to sample an 120 arbitrary lighting vector field and to calibrate it using a flat-fielding approach. They don't use 121 polynomial interpolation, but they exploit measured spatially-varying intensities to compensate 122 the input images, and to convert the problem into a standard collimated case. Differently to our 123 work, they require different acquisitions for the calibration step and the actual capture; this is 124 possible only with a fixed light configuration, but it is not applicable to a more general free-form, 125 hand-held multi-light acquisition. In our approach, we use multiple spheres to estimate a light 126 direction field, and use measures on a planar white target to estimate the intensity of each light 127 ray, infilling missing data with a low-degree interpolation, thus reconstructing an approximation 128 of the entire light field illuminating the scene. 129

The pipeline presented here was preliminarily proposed in our previous conference papers [39, 130 20]. The pipeline improves the classical highlight-based RTI capture framework by estimating 131 per-pixel interpolated light direction and creating intensity-corrected images simulating constant 132 illumination on a reference plane. We here provide a more thorough exposition, but also sig-133 nificant new material, including the support for a non-orthographic camera model, a new orga-134 nization of data that facilitates processing and display, the presentation of easy-to-use software 135 interfaces to perform all the processing steps and novel experiments to demonstrate the advan-136 tages of the proposed methods. Finally, we have attempted to further clarify the steps in our 137 methods to facilitate their implementation and to make the transfer between abstract concepts 138 and actual code as straightforward as possible. 139

#### 140 **3. Improved Highlight RTI pipeline**

Our complete acquisition and processing pipeline is shown in Fig. 1. We acquire and take as input a Multi-Light image collection. Light information may be in principle known for each image if coming from a calibrated system (light dome). If lights are not known and calibrated, as in hand-held light acquisition, the classical solution is to assume uniform intensity and direction and use a reflective sphere for estimating light direction from highlight position (H-RTI).

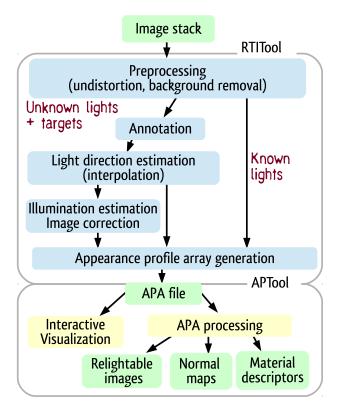


Figure 1: The proposed MLIC processing pipeline.

Our first contribution is a more complete setup (Fig. 2(a)) to characterize lights directly from 146 images, improving H-RTI. This setup includes, in addition to several (typically four) reflective 147 spheres, a matte white planar frame around the object being captured. The multiple spheres 148 are used to derive a more accurate per pixel interpolated direction, while the frame is used to 149 estimate a correction for light non-uniformity and vignetting, as described in Sec. 3.4. Several 150 instantiations of this concept are possible. In particular, if an object is captured in a typical 151 laboratory setup, the white frame can be replaced by a Lambertian surface covering the plane 152 supporting the object. Moreover, in outdoor acquisitions of large objects, spheres at the corner 153 of the visual fields and multiple co-planar Lambertian targets on the acquisition reference plane 154 could be placed, as well, and used for the subsequent calibration procedures. In order to simplify 155 generic on-site acquisitions, we realized a modular frame building set, which combines 3D-156 printed supports for spheres with aluminum bars of different lengths covered by approximately 157 Lambertian coating (Fig. 2(b)). This allows the creation of rigid frames that can be placed in 158 horizontal, vertical or arbitrary orientations. The current version holds 5cm wide spheres, but we 159 plan to realize sets of different sizes. 160

Before the acquisition, we assume that we have already (and once) calibrated the internal characteristics of the camera, in order to obtain the radiometric response function and the lens parameters. The capture process outputs an image stack, which is preprocessed and semiautomatically annotated with custom software (see Sec. 4) to find the position of the spheres and

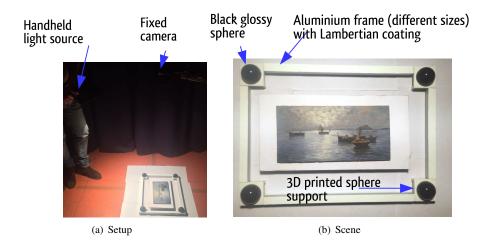


Figure 2: **Capture setup.** (a) The acquisition setup consists in a stationary DSLR digital camera, a hand-held light source, some calibration targets (a Lambertian white background/frame and four black reflective spheres), and the object being acquired positioned on a planar support perpendicular to the camera axis. (b) Camera view of the scene.

rectangular regions of the white frame (Fig. 3). From the positions of highlights, the incident light direction is estimated at the highlight location and interpolated across the whole image. Then, illumination intensity is corrected at each pixel location. This is done by multiplying the local value by the factor that would make the locally interpolated white frame intensity match a reference value multiplied by the cosine of the local light direction elevation angle.

After that, each pixel is associated with a calibrated reflectance profile (appearance profile), 170 coupled with calibrated light parameters. Those are used to provide the user with an interactive 171 data visualization, and to perform various processing operations on reflectance data. For instance, 172 as in typical RTI settings, we fit reflectance data to a low-dimensional analytic representation, in 173 order to extract a small set of coefficients that can compactly describe the image stack at each 174 pixel. Then, we use this information to relight the object, to compute geometric attributes (e.g., 175 normal maps or 3D surface reconstruction), or to extract meaningful appearance features and 176 descriptors for material classification and recognition. 177

All the procedures can be controlled by two software tools that will be described in detail in Sec. 4: one dedicated to the preprocessing and reorganization of pixel data (RTITool), one to reflectance data fitting, normals estimation, visualization and analysis (APTool).

In the rest of this section, we provide details on the major pipeline components: preprocessing to prepare data for further elaboration (Sec. 3.1), perspective light direction estimation from highlight on a single sphere (Sec. 3.2), reconstruction of lper-pixel light direction by interpolation of results on multiple detected highlights (Sec. 3.3), light intensity correction by exploiting interpolated directions and measures on a matte planar target (Sec. 3.4), storage of the calibrated per-pixel information in a 3D appearance profile array (Sec. 3.5), and, finally, basic processing of appearance profile data to recover shape and reflectance parameters (Sec. 3.6).

#### 188 3.1. Preprocessing

Image preprocessing consists mainly in the removal of ambient light and undistortion. These
 two transformations are applied to all the images in the collection before they are fed to the light

direction estimation step. The ambient light is captured by acquiring an extra image of the scene 191 with the handheld light source turned off. The undistortion is performed according to the intrinsic 192 camera parameters estimated a priori with a standard calibration procedure. Auxiliary to the 193 annotation of the black reflective spheres and the white Lambertian frame, stand the maximum 194 image and respectively, the minimum image estimation. The maximum of the image collection 195 discards the shadow around the spheres, hence improving the visual acuity, while the minimum 196 image maps the projected shadows areas that should be avoided when selecting consistent highly 197 reflective regions (Fig. 3). 198



Figure 3: Snapshots of the RTITool interface during the annotation of reflective spheres (left) over the maximum image estimated from the MLIC stack, and the annotation of the Lambertian frame performed on the minimum image estimates from the stack (right).

# 199 3.2. Perspective Light direction estimation

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In the general case of free-form RTI acquisition without known lights, we compute the highlight-based light direction by releasing the orthographic projection hypothesis used in previous classic solutions [3] and implemented in the well-known RTIBuilder package. This allows the computation of light direction when the reflective spheres are at the margin of the image and appear relevantly distorted (elliptical) in the image.

In the current algorithm and implementation, we assume known intrinsic parameters of the camera: optical center,  $\vec{o_x}$ ,  $\vec{o_y}$ , pixel size,  $\vec{s_x}$ ,  $\vec{s_y}$ , and focal length  $\vec{f}$ . They are loaded from files in the software tool. However, if we have a scene with multiple reflective spheres, we could, in principle, exploit them also to calibrate the camera including distortion parameters [40]. We plan to include this feature in future version of the package.

Once we have identified the projected sphere outline, that is an ellipse, we can easily locate the extrema of the major axes, with known coordinates in camera frame  $\vec{p} = ((p_x - o_x)s_x, (p_y - o_y)s_y, f)$  and  $\vec{q}$  (Fig. 4). Note that the knowledge of the pixel size  $\vec{s_x}, \vec{s_y}$  is not necessary. We can only add knowledge of aspect ratio *s* to the focal length expressed in pixels. From  $\vec{p}$  and  $\vec{q}$ , we can easily compute the direction of the vectors  $\vec{a}, \vec{b}$  pointing to the corresponding tangent points on the sphere  $\vec{P}, \vec{O}$ 

 $ec{a} = (ec{p} - ec{O}) / ||ec{p} - ec{O}||$  $ec{b} = (ec{q} - ec{O}) / ||ec{q} - ec{O}||$ 

This also allows us to estimate the unit vector  $\vec{w}$  pointing to the center of the sphere  $\vec{C}$ :

$$\vec{w} = (\vec{a} + \vec{b})/||\vec{a} + \vec{b}||$$
7

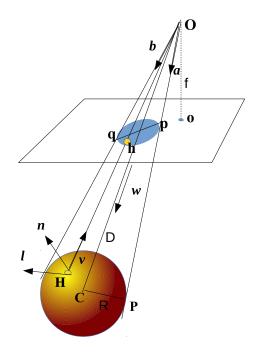


Figure 4: Light direction can be easily computed also assuming releasing the orthographic projection constraint.

The triangle  $\vec{OCP}$  has known angles  $arcsin(\vec{a} \cdot \vec{w})$ ,  $arccos(\vec{a} \cdot \vec{w})$ ,  $\pi/2$ ) and a known side *R*. We can, thus, estimate the distance *D* of the sphere center from the camera center, and the coordinates of the sphere center in camera coordinates  $C = D\vec{w}$ .

Since we have multiple spheres on a plane, we can then estimate the plane orientation/position from the estimated centers with a simple fit.

Once we estimate the position of the projected highlight  $\vec{h}$ , we can solve for the 3D highlight position and the light direction estimation, by computing the view unit vector

$$\vec{v} = (\vec{o} - \vec{h})/||\vec{o} - \vec{h}|$$

<sup>225</sup> and the equation of the line from the origin to the highlight

$$\vec{X} = -t\vec{v}$$

<sup>226</sup> Solving the equation system that combines this equation and the sphere equation

$$(X_1 - C_1)^2 + (X_2 - C_2)^2 + (X_3 - C_3)^2 = R^2$$

we can find two intersections. The one closest to the origin is the highlight position in 3D  $\vec{H}$ .

<sup>228</sup> The unit normal in the point is then

$$\vec{n} = (\vec{H} - \vec{C}) / \|\vec{H} - \vec{C}\|$$

and reflecting  $\vec{v}$  with respect to  $\vec{n}$  we can estimate the light direction  $\vec{l}$ .

In our tool, we implemented this algorithm coupled with a simple ellipse detector based on local image binarization and ellipse fitting obtained with OpenCV implementation of Fitzgib-

bon's method[41].

#### 233 3.3. Multiple spheres setup and light direction interpolation

By putting a sphere at the margin of the image, we reduce the odds that it casts shadow on the object. The perspective model allows us to do this even in non-ideal conditions and wide field of views.

For most light sources, in addition, the assumptions of a parallel and uniform beam across the entire scene is also far from being fulfilled, and errors introduced in this case are not negligible, as shown in the experimental section. We, therefore, strive to obtain, when possible, a better per-pixel light direction estimation by using multiple (typically four) spheres placed close to image corners, estimating directions for the various highlight positions, and linearly interpolating estimated light direction across the image.

If this configuration is chosen, rather than just estimate and store a light direction for each image, we estimate for each image the coefficients of a linear interpolation of the directions that are later used to recover per pixel light direction values. Coefficients are saved in our specialized data structure (appearance profile array, APA) and used to support a better estimation of reflectance parameters.

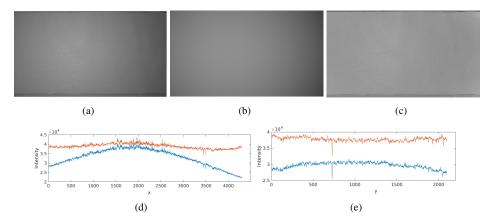


Figure 5: Intensity correction procedure of image with only white background: from the annotated planar frame (not visible in the cropped image) at the border of the original image (a), a polynomial estimate of the illumination in the whole image is performed (b), and used to estimate a corrected image (c). Intensity profiles along the central line and column in the original and corrected images are compared in (d),(e).

#### 248 3.4. Light intensity correction

The non-uniformity of the beam intensity can be reasonably corrected with a solution that 249 can be applied in many practical acquisition scenarios. The idea, here, is to place a planar frame 250 around the object of interest, with an approximately Lambertian coating. By detecting the region 251 in the images where the target is illuminated, excluding the parts that can be shadowed in some 252 images, we can use the measured pixel values on the target to calibrate the pixel values on the 253 acquired objects, in order to simulate an acquisition made with a truly constant light intensity, 254 at least on the plane of the frame. Ideally, for a Lambertian surface, the brightness of the region 255 should be constant (if the light direction is constant). In practice, we measure a non-negligible 256 non-uniformity using common lights and cameras, due to non-uniformity of the light beam, as 257 well as to vignetting effects of the lenses. 258

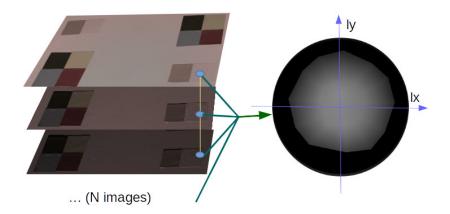


Figure 6: Appearance profile visualization using Delaunay Triangulation based interpolation of the intensity values at the pixel location in the  $l_x$ ,  $l_y$  plane.

By fitting a polynomial function of the light direction over the brightness estimated in the 259 frame regions, we can compute a correction factor for the estimated light, making the image 260 illuminated as if the light intensity has a standard reference value, and the local light direction 261 estimated at each pixel location (we obtain this by weighting the expected Lambertian reflectance 262 of paper by the actual local cosine factor). This light normalization can correct different non-263 uniformity causes. Of course it assumes that the light intensity is not changing with depth in 264 the region of interest. Since beam variations are expected to be smooth, we use a quadratic 265 interpolation of the reflectance to extend the reference illumination to the entire plane of interest 266 starting from the reference values on the target. It should be noted that even if the current software 267 fits a quadratic model, a more complex function will be investigated in the future. Fig. 5 shows 268 the effect of the correction procedure in an image with only a planar diffusive surface (spheres 269 and calibration frames were outside the cropped region of interest). The procedure successfully 270 flattens the intensity profiles due to spotlight shape and vignetting. 271

#### 272 3.5. Appearance profile array files storage

In order to simplify data processing steps, we store the data stack after in a reorganized 273 array structure, where all the per-pixel information is represented sequentially to allow model 274 fitting or pixel processing without the necessity of loading all the data in memory or to allocate 275 large array in processing software. The file structure used (appearance profile array, APA) is 276 composed of a header and data section. The header describes the encoding choices (8 or 16 bits, 277 RGB or chromaticity+luminance, constant or interpolated light directions) and the light direction 278 information (vector elements or interpolation coefficients). The data section stores pixel values 279 in a 3D array. Fig. 6 shows the information encoded in appearance profile: all the brightness 280 information of a pixel location is stored together and can be represented in  $l_x$ ,  $l_y$  coordinates and 281 interpolated for a better display. The shape of the resulting function is characteristic of both shape 282 and material properties. We tested both Delaunay Triangulation based interpolation and Radial 283 basis functions to obtain visualizations of the local appearance map. Using these interpolation 284 algorithms, relighted images can thus also be directly displayed without the need for simplified 285 parametric representations of the local reflectance as a function of light direction. 286

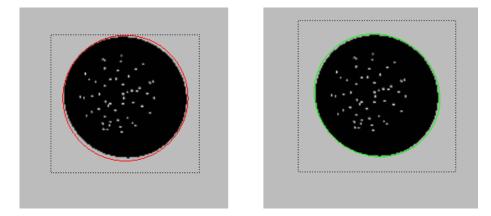


Figure 7: Annotation of reflective spheres on a synthetic dataset. With the orthographic assumption, automatic segmentation is not accurate and annotated circles cannot, in any case, match exactly the real object contours (left). With the perspective mode the segmentation is more accurate, and this results in a quite higher accuracy of the estimated light direction, as shown in Sec.5.1

# 287 3.6. MLIC data basic processing: Photometric stereo, PTM/HSH fitting

Apart from creating relighted images with interpolation in the light direction space, the MLIC stacks encoded as (intensity-corrected) appearance profiles can be processed with the standard algorithms used to recover shape and reflectance parameters. Given for each pixel the light direction  $(l_x(i), l_y(i), l_z(i))$  and the (corrected) reflectance L(i) known for N light directions  $\vec{l}(i)$ , basic Photometric Stereo estimates albedo a and normals  $\vec{n}$  assuming the Lambertian model and solving the overconstrained system

$$L(i) = a \left[ n_x, n_y, n_z \right] \left[ l_x(i), l_y(i), l_z(i) \right]^T i = 1...N$$
(1)

PTM fitting approximates the reflectance function with a polynomial function, also using a least squares solution to find coefficients. The classical form [10] is

$$L(i) = [a, b, c, d, e, f] \left[ l_x^2(i), l_y^2(i), l_x(i)l_y(i), l_x(i), l_y(i), 1 \right]^{l}$$
(2)

<sup>296</sup> but different polynomial function have been proposed, as well as different fitting functions,
 <sup>297</sup> such as Hemispherical Harmonics or Discrete Modal Decomposition [12, 13]. Implementing
 <sup>298</sup> different function fitting is quite simple, and their ability to represent the real reflective behavior
 <sup>299</sup> of the material depends clearly on the kind of material analyzed.

Furthermore, it must be considered that non-local effects, such as interreflections and projected shadows, create local anomalous behaviors of the laws directly linking light angle and reflected color. To cope with these effects, and also to separate diffusive behavior from specular highlights, robust fitting methods have been proposed [42, 43], trying to remove outliers from the parameters estimation procedure.

# **4. Simple tools for RTI data processing**

We designed two software tools to process image stacks captured by a camera in RTI settings.

The first tool, RTITool, is aimed at performing all the preprocessing steps to transform acquired images to appearance profile array data cropped in the region of interest, prepared so that they can be used easily to estimate normals, relightable images and feature maps both with our own tools or other photometric stereo and RTI fitters. RTItool takes as input image and calibration information, and is able to perform all the calibration steps described in the previous section to cope with the difficulties of free-form acquisitions.

The second tool, APTool, is aimed at processing appearance profile array data using different 313 algorithms, creating and exporting albedo, normal maps, relightable RTI files (e.g., PTM files), 314 as well as displaying derived images (multi-light image enhancements, relighted images from 315 novel illumination directions) on a canvas window. Both tools are still a work in progress, but 316 current versions, with all the capabilities described in the paper are available at the web site 317 http://www.andreagiachetti.it/rtitools. Code has been developed on a Linux platform, but, as it 318 has been realized in C++ using Qt and OpenCV libraries, it could be easily ported on a variety 319 of computing architectures. 320

#### 321 4.1. RTITool

This program allows the user to load image sets, both trough image lists or through files 322 with filenames and associated light directions typically used in current RTI tools. Users can then 323 perform all the processing pipeline with various option, working with both 8-bit and 16-bit depth 324 images and generating APA files for the entire image size or cropped regions. The interface is 325 designed to simplify all the annotating tasks. For example, in order to easily annotate reflective 326 spheres, annotation and automatic fitting algorithms are by default done on the maximum image, 327 showing the highest luminance pixels over the stack, removing shadows and evidencing the black 328 object (Fig. 3, left). In the same way, the annotation of the white frame is done by showing the 329 minimum image, displaying the lowest per-pixel luminance, so as to easily avoid annotating 330 regions that can be shadowed from some light directions (Fig. 3, right). 331

The annotation of reflective spheres is semi-automatic. The user is asked to draw a rectangle 332 including each sphere image. The circles (in case of orthographic assumption) or the ellipses (in 333 case of perspective) are automatically estimated and drawn. Users can also visually refine the 334 segmentation by interactively changing the curve parameters on the interface. Fig. 7 shows the 335 inaccuracy of classical circular annotation (left), fixed on the same image by the ellipse fitting. 336 In both cases, light directions can be estimated and stored. Note that even an apparently small 337 deviation from the orthographic model, as the one shown in the figure, may result in an increase 338 of one order of magnitude of error in light direction estimation (see Sec.5.1). 339

#### 340 4.2. APTool

The processing of the raw MLIC stack performed with the RTITool ends by the storage of 341 the data structure allowing the sequential processing of pixel information (light directions and 342 associated corrected or non corrected intensity values). This information can be used to estimate 343 normals and albedo using photometric stereo, creating novel relighted or enhanced images by 344 interpolating or mixing the different pixel values, fitting reflectance models storing relightable 345 images like PTM or HSH standard files, and more. We developed, for these purposes, a second 346 software tool called APTool, which loads preprocessed arrays and allows the generation of nor-347 mal and albedo maps derived from PS or the estimation of PTM coefficients. Robust versions of 348 the fitters are also available. The idea is to include, in the future, different fitting and visualiza-349 tion algorithms to the software in order to support different kinds of end-user applications. Apart 350

from fitting models and saving classical RTI files, the tool currently allows direct visualization 351 of relighted images given a novel light direction, through direct interpolation of samples based 352 on radial basis functions (Fig. 8). By selecting image locations (single points or rectangular re-353 gions), it is also possible to visualize a 2D intensity map represented in  $l_x$ ,  $l_y$  space of the local 354

appearance profile, obtained by scattered data interpolation of the known samples (Fig. 8(b)). 355

We have experimented with a Delaunay triangulation based and a Radial Basis Function interpo-356

lation of the samples, and provide an RBF implementation in the delivered tool. 357



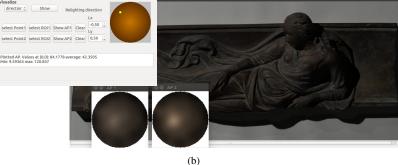
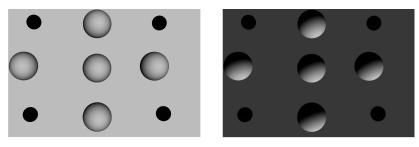


Figure 8: APTool used to show directly a Radial Basis function interpolation simulating direct relighting from  $(l_x = l_x)$  $0.5, l_y = -0.5$ ) (a) and from  $(l_x = -0.5, l_y = 0.5)$  (b). In the second case, we also selected and compared AP profiles in selected image points.

#### 5. Experimental results 358

In order to demonstrate the usability of our pipeline and the effects of new algorithms, we 359 performed a series of experiments covering different kinds of MLIC capture and processing. 360 Our tests include both synthetic datasets and real-world ones. The real-world experiments were 361 performed using a DSLR Nikon D810 camera with an architecture based on a CMOS sensor with 362 removed IR cut-off filter. The size of the sensor is 36x24mm and the spatial resolution of the full 363 format image area is 36MP. To the digital camera a full frame AF-S FX Nikkor 50mm f/1.8G lens 364 13



(a) Synthetic MLIC with directional lights



(b) Synthetic MLIC with spot lights

Figure 9: (a) Two images of a synthetic dataset simulating a white plane with some large bumps and 4 reflective spheres, acquired by a fixed camera under different parallel and constant illumination. (b) Two images of a synthetic dataset with the same geometry, but illuminated by simulated spot lights.

was attached. As in this paper we present results using visible light, an IDAS-UIBAR III optical filter was used to gather only the signal from the visible range of the electromagnetic spectrum. The sensor of the digital camera was checked for linearity, by taking images covering a wide range of exposures, from very low to very high and then plotting the brightness as a function of exposure. The camera was geometrically calibrated by computing the intrinsic parameters, two radial and two tangential distortion coefficients with the GML Camera Calibration Toolbox. However, any other calibration tool can be used for this purpose.

#### 372 5.1. Accuracy of light direction estimation

In order to evaluate the errors in light direction estimation when the orthographic camera 373 model is not perfectly followed, we created a synthetic RTI dataset by rendering a scene with 4 374 reflective spheres near image corners, placed on top of a white Lambertian surface not exactly 375 perpendicular to the camera axis, and with some spherical bumps, illuminated with perfectly 376 parallel rays along 50 known directions (Fig. 9 a) or with the same number of simulated spot 377 lights (Fig. 9 b). Using RTItool, we annotated the elliptic sphere profiles and estimated the light 378 directions at each sphere position as described in Sec. 3.2. We compared the results with those 379 obtained with our tool in the orthographic approximation, by annotating the circle circumscribed 380 to the ellipse. We also compared our results with those obtained similarly with the widely used 381 RTIBuilder package [21]. Note that the circular annotation cannot be precise, as the sphere mask 382 is actually elliptic, as shown in Fig. 7, and this happens in most real images. 383

A comparison of the errors obtained (difference between the average of the four sphere estimations and ground truth) reveals that, despite the limited eccentricity of the ellipses, with the

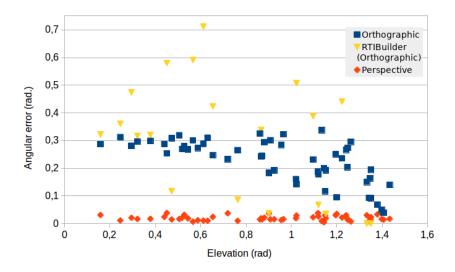


Figure 10: Angular errors due to wrong orthogonal camera assumption can be quite relevant. The use of a perspective model makes the values quite accurate.

perspective model we have the error reduced by an order of magnitude. The average errors for the 50 directions of Fig. 9 a are, in fact, 0.02 radians for the perspective estimation, 0.21 for the orthographic estimation done with RTITool, and 0.23 for the orthographic estimation done with RTIBuilder. Fig. 10 shows errors for each of the 50 single light directions sorted by elevation.

The local accuracy of light direction estimation is then improved by estimating local values 390 by interpolating the values of the sphere at the corners. In order to show the amount of error 391 reduction, we conducted two experiments. First, we created another synthetic dataset similar to 392 the previous one, but where the images are illuminated with simulated spot lights approximately 393 pointed towards the center of the target. Light direction and intensity for each pixel are thus not 394 uniform, as in most typical real-world scenarios. In this case, the per pixel average error in the 395 constant estimation (average of the values of the four spheres) is significantly higher than the 396 error value coming from interpolation. Fig. 11 shows the average errors for the single images 397 plotted versus elevation angle of the spotlight orientation. With interpolation, the error, averaged 398 on all pixels of all images, is reduced from 0.17 to 0.05 degrees. 399

We also performed experiments on a real acquisition of a calibration target. In this case, we captured images of a flat plane perpendicular to the camera axis, putting four reflective spheres at the corners of the image area and a fifth in the center. In the set of images captured, the average difference between the direction measured in the top left corner and the one measured in the image center was 0.146 radians. Fig. 12 shows that, for small elevation angles, the error is higher due to the larger effect of quantization error in highlight localization. If we estimate a per-pixel linear interpolation of light direction, we reduce the average error to 0.121 radians.

#### 407 5.2. Accuracy of normal reconstruction

The improved per-pixel light direction estimation and the procedure to correct illumination increase the quality of the MLIC-based reconstructions, as demonstrated, for instance, by our tests with Photometric Stereo and normal estimation. Using the same simulated dataset with

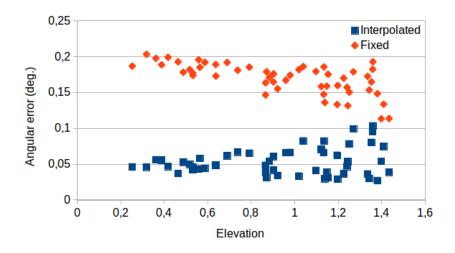


Figure 11: Angular errors of light direction estimated on a sphere with respect to real one in the simulated "spotlight" dataset of Fig. 9 b, plotted against elevation of the principal ground truth spotlight direction. Interpolation strongly increase the average accuracy of the per-pixel estimation.

	Angular err (rad)	Std. Dev
Single Directions, no light correction	0,482	0,271
Interpolated directions, no light correction	0,417	0,249
Single Directions, light correction	0,262	0,188
Interpolated directions, light correction	0,252	0,183

Table 1: Angular errors reduction in per-pixel normal estimation with Photometric Stereo on the synthetic "spotlight" dataset of Fig. 9 b using interpolated light direction estimation and intensity correction.

spotlight illumination, we estimated surface normals (and albedo) by solving the classical leastsquares problem under the assumption of Lambertian surfaces. We then compared per-pixel reconstructed normals with the ground truth values. Table 5.1 shows that the average angular error
is strongly reduced both by the light direction interpolation and the light intensity correction.

The effects of light correction can be also appreciated when reconstructing normals of challenging real-world objects from MLICs using Photometric Stereo. To show this, we acquired images of a set of coins placed over a flat background. We used our reconstruction pipeline and the RTITool to recover the appearance profile arrays and then used our APTool to reconstruct normal maps.

The set is composed by a bronze Roman coin (*quadrans*) dated 9 B.C. and damaged by scratches, and two 10 cent Italian coins. One exemplar, dated 1931 is made of copper and is severely degraded, while the second exemplar, dated 1939, is made of a special alloy with nickel, called Bronzital, which has been used to improve corrosion resistance.

Normal maps obtained with Photometric Stereo have been compared with an (approximate) reference solution derived from a high resolution 3D reconstruction of the same coins made with an optical microprofilometer based on conoscopic holography [44]. This device is able to capture reliable profilometric measurements down to the scale of micron on different kinds of materials, reflective or diffusive. Our microprofilometer is based on an Optimet conoscopic

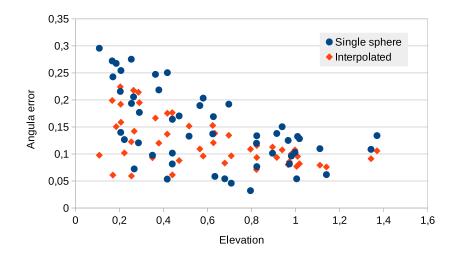


Figure 12: Angular difference of the direction estimated on a sphere near the corner with respect to the one estimate on a sphere near the image center (blue dots), plotted as a function of elevation for a complete MLIC scan (50 photos). Replacing the corner estimate with the linear interpolation of the four corner values in the central position, we can get reduced errors (red squares).

probe mounted on sub-micrometric linear stages in order to scan a region up to  $30x30cm^2$  in one session. Reference coin models have been reconstructed with a transversal resolution (XY grid) of 50 microns.

432 Depth maps derived from these models were finally registered with the estimated RTI normal
 433 maps using a similarity transform optimized to match the correspondence of manually selected
 434 points (12 landmarks). This initial registration was then refined by locally optimizing mutual
 435 information in image space.

Fig. 13 shows the three coins and the related differences between RTI-based normal maps and
the reference normal maps estimated from microprofilometric data, both in case of non-corrected
image brightness, and with the light correction procedure described in Sec. 3.4. It is evident
that light correction sensibly improves the reconstruction quality, as quantitatively reported in
Table 5.2. The light correction procedure reduces the median errors, on average, by 27%.

	Median angular distance (rad.)		
	Non-corrected	Corrected	
Bronzital 10c	0.117	0.079	
Copper 10c	0.068	0.053	
Quadrans	0.171	0.108	

Table 2: Median angular distances of the RTI estimated normals from the reference microprofilometer normals. The calibration procedure reduces the errors on average of 27 percent.

# 441 5.3. Recovery of reflectance properties of materials

<sup>442</sup> Our intensity correction methods are also important to better recover material properties. To <sup>443</sup> demonstrate this fact, we placed a matte paper target with different albedo regions in different

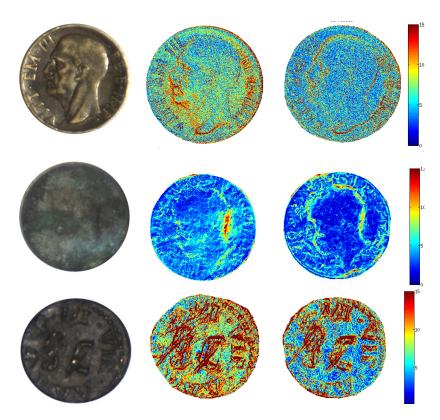


Figure 13: **Angular errors**. Color-coded angular errors (degree) of RTI estimated normals wrt ground truth from microprofilometry. Left: non-calibrated results. Right: results with light calibration.

# <sup>444</sup> positions on a flat planar background (Fig. 14).



Figure 14: Three images of a MLIC capture of a planar surface with flat paper targets with different albedo regions.

If we visualize the interpolated appearance profiles estimated on a pixel in a selected region, in this case with flat perpendicular surface and approximately Lambertian behavior, we should see a function that, represented in  $l_x$ ,  $l_y$  coordinates, should present a regular and symmetric function. Fig. 15 shows that plots of interpolated appearance profiles on non-corrected images are not symmetric and different in different regions of the same material if images are not corrected with our procedure. Conversely, light correction results in profiles similar to those expected and similar in different parts of the image where the material is the same.

<sup>452</sup> This effect can be quantitatively measured by evaluating the average albedo of the patches of

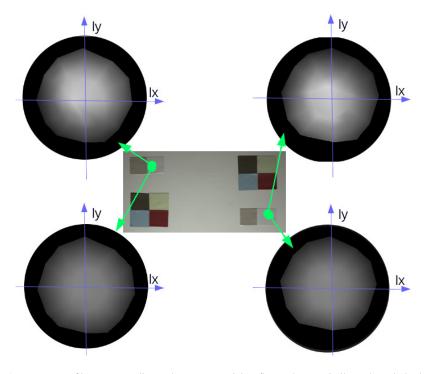


Figure 15: Appearance profiles corresponding to the same material on flat patches are similar and regularly shaped when computed on light-corrected images (bottom), while are irregular when lighting is not uniformed (top)

the same paper types put in two different positions in the scene of Fig. 14. Without corrections, the albedo of paper patches of the same type placed in different image position differs up to 7%.

The difference is strongly reduced with the light direction and intensity correction procedure, as shown in Table 5.3.

By matching the reflectance of the Lambertian frame to a reference value, we can also estimate the consistency of albedo measurements among different image captures. Table 5.3 shows that the measurements obtained in a second acquisition are largely different (often more than 30% of the value), even if a similar protocol and the same light source has been used. It is actually sufficient to change the distance of the source to have different results. However, the use of the correction procedure results in similar albedo values (difference lower than 2.5% of the value)

Another important effect of the light correction procedure is the repeatability of reflectance parameters estimation in different MLIC captures without light calibration. Table 5.3 shows the albedo of the same paper types of the previous experiment estimated on a different MLIC capture of a plane with paper targets glued on it. Without light correction, the light intensity is quite different, even if we tried to use a similar configuration. Clearly a small difference in light distance results in different illumination and estimated albedo. The light correction procedure, by contrast, makes the estimated parameters similar.

Processed RTI data is often used to segment different materials not easily recognized in color images [18]. Such kind of results can be improved by our light correction procedures. To show this, we have performed two RTI acquisitions of a polished silver sample partially covered by

		Albedo Pos. 1	std	Albedo Pos.2	std	diff/mean
Paper1	corrected	0,557	0,003	0,559	0,004	0,39%
	non-corrected	0,726	0,009	0,708	0,009	2,53%
Paper2	corrected	0,406	0,003	0,411	0,003	1,38%
	non-corrected	0,515	0,007	0,539	0,018	4,54%
Paper3	corrected	0,391	0,003	0,391	0,005	0,17%
	non-corrected	0,501	0,009	0,514	0,007	2,73%
Paper4	corrected	0,157	0,004	0,156	0,003	0,55%
	non-corrected	0,206	0,005	0,203	0,006	1,30%
Paper5	corrected	0,551	0,003	0,545	0,005	1,08%
	non-corrected	0,734	0,008	0,685	0,010	6,96%
Paper6	corrected	0,138	0,006	0,135	0,003	2,10%
	non-corrected	0,184	0,004	0,173	0,007	6,18%

Table 3: Albedo measured on planar patches of the same material can be quite different in different image regions if estimated with classic photometric stereo on non-corrected multi-light image stacks. Our brightness and light-direction correction procedures clearly result in more consistent values.

a coating, see Fig. 16(a), and applied unsupervised classification to segment regions with or
without coating. For each RTI sample, we compute a 7-dimensional descriptor of a 30 pixels
neighborhood. The descriptor is the average albedo value, to account for material color, plus the
6 standard deviations of the standard RTI polynomial coefficients, to account for the roughness
of the sample surface.

Unsupervised classification is achieved by performing two-class k-means clustering. We 479 measured the similarity of the classification outcomes obtained from the two different acquisi-480 tions, without and with light calibration, see Fig. 16. The only difference between the two ac-481 quisitions of the same sample is the different lighting pattern caused by the free-form approach. 482 The coated area is in red, while the uncoated area is in green. In the absence of light calibration, 483 the clustering outcome is unstable, as it has only a 20% overlap, while, by performing light cali-484 bration, we improve it up to 99.5% of pixels that have been assigned to the same class, showing 485 that with our approach free-form RTI can be used for surface characterization. 486

# 487 5.4. Visual analysis of RTI enhancements

The typical use of MLIC data done in the Cultural Heritage domain consists in estimating 488 relightable images and analyzing them to improve the visualization of object details. To sim-489 ulate this application, we created a mock-up of a complex structure with fine relief details by 490 imprinting a leaf on modeling paste, then acquiring the photos with our pipeline supporting light 491 correction. We exported both corrected and non corrected appearance profiles with RTITool and 492 estimated and exported PTM files with APTool. The files have been analyzed with RTIViewer 493 to visualize interesting detail [21]. Fig. 17 shows a detail of a relighted image with the specular 494 enhancement proposed in [10]. The result on top right is obtained from the non-corrected data, 495 while the one on the bottom right is obtained with the corrected pipeline. In the corrected images, 496 it is possible to appreciate a better enhancement of detail and a clearer visualization of nervatures 497 and scratches, hardly visible on the uncorrected image. 498

This effect is even more visible in the example of Fig. 18, where specular enhancements obtained from PTM fitting of the non-corrected and corrected appearance profiles derived from the

		Albedo	Albedo	d:ff/maan
		MLIC 1	MLIC 2	diff/mean
Paper1	corrected	0,558	0,548	1,81%
1 aper 1	non-corrected	0,717	0,518	32,25%
Paper2	corrected	0,409	0,404	1,18%
1 aper 2	non-corrected	0,527	0,393	29,23%
Paper3	corrected	0,391	0,385	1,60%
	non-corrected	0,508	0,381	28,45%
Paper4	corrected	0,156	0,156	0,23%
1 aper4	non-corrected	0,205	0,155	27,64%
Paper5	corrected	0,548	0,536	2,22%
	non-corrected	0,710	0,510	32,77%
Paper6	corrected	0,136	0,139	2,06%
	non-corrected	0,178	0,135	27,84%

Table 4: Albedo values measured on a different acquisition of the same material patches of Table 3. The correction procedure results in similar values for similar materials.

<sup>501</sup> acquisition shown in Fig. 2(b) are compared. The correction leads to a much better visualization <sup>502</sup> of brush strokes.

Looking at PTM-based relighting, it is interesting to note that even if light calibration ensure a better quality of enhancements due to the improved normals, the removal of specular components results in loss of possibly relevant information about the imaged object. This can be seen comparing relighted PTMs with corresponding relighted APA visualized with our tool. Fig.19 shows this on the painting detail. PTM-based relighting represents similarly regions where the surface has different specular behavior and the perception of depth is reduced by the absence of specular effects, visible in RBF interpolation.

We plan, therefore, to investigate on possible improvements of interactive direct visualiza-510 tion of APA information and on the development of novel enhancement methods that can be 511 directly implemented in the APTool to allow a better visual analysis of the information hidden 512 in RTI stacks. PTM or HSH encodings are useful as they allow compact storage of relightable 513 images, but, imposing a drastically simplified reflectance model discarding relevant information, 514 they may result in information loss that may create serious problems to the subsequent surface 515 analysis. Our plan is to use smart compression techniques to obtain a compact representation of 516 the full APA information allowing an easier handling and more efficient direct visualization. 517

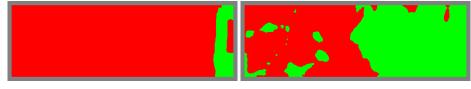
# 518 6. Discussion

Highlight RTI is quite popular, especially in the Cultural Heritage domain, to the point that it
may be considered one of the most successful computational photography techniques in that domain. It can be realized with a simple camera, a simple light source and one (or more) reflective
spheres. However, the framework commonly used for this task has some limitations, and this can
result in a low degree of repeatability of measurements, as well as in a poor quality of extracted
information, leading, in some cases, to the impossibility of effectively using the technique.

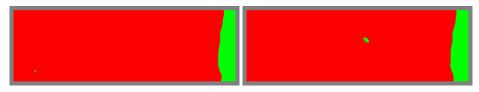
In this article, we have shown that, with slight modifications of the standard acquisition setup, it is possible to significantly improve the quality of the fusion of a multi-light image



(a) Partially coated silver sample



(b) Clustering results without light correction



(c) Clustering results with light correction

Figure 16: **Unsupervised classification**. In (b),(c), two class k-means clustering (left/right) applied to two different acquisitions of the polished sample in (a) are represented. The coated area is in red while the uncoated area is in green. Without calibration we have a)20% of classification similarity, while we obtain a value of 99.5% by using the calibrated images. This shows the drastically increased level of repeatability of the proposed pipeline with respect to classic free-form RTI.

collection, achieving a better reconstruction of shape and material properties of the scene, as well as an improved quality of relightable images. Our approach realizes a sort of integration of the classic H-RTI technique, usually based on uncalibrated lights and qualitative analysis, with the Material Capture and Photometric Stereo approaches targeted at accurate shape (and reflectance) reconstruction, but usually requiring very high-density acquisitions and/or light and camera calibration.

As with all practical setups, the proposed approach has also some limitations. First of all, the 533 necessity of placing more targets near the object, and the fact that we assume that the object to be 534 imaged is mostly planar. The latter assumption is, however, typically true in H-RTI applications, 535 and can be resolved with the same iterative techniques applied in PS settings. Moreover, in our 536 current implementation, using our custom designed frame with the four spheres and the coated 537 aluminum bars, the size of the object to be captured is limited to a range from about 50x50 538 cm to 1mx1m. For larger sizes, the placement of co-planar Lambertian targets to estimate the 539 correction may be difficult in on-site acquisitions. We are investigating, however, different light 540 correction methods that may take into account depth variations of the illumination. We are also 541 investigating improved interpolation methods tuned for standard spot lights. 542

<sup>543</sup> Our current work focuses on the finalization and testing of our processing tools, that will be <sup>544</sup> freely available for the scientific community.

<sup>545</sup> We are also investigating novel techniques for shape and material reconstruction, as well for

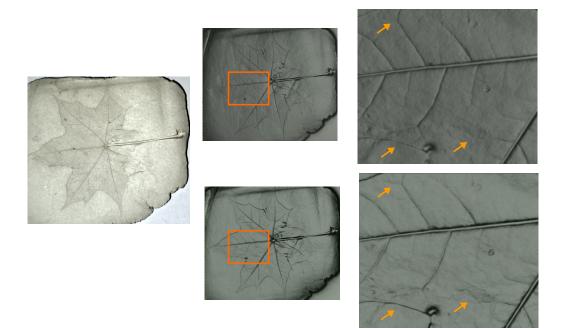


Figure 17: Detail of relighting with specular enhancements of a captured mock up representing a leaf with small imprinted details. Using RTIViewer with the same parameters, the result obtained with the PTM files estimated using corrected images (bottom) allows a better perception of small details.

feature detection from MLIC. A challenging problem is, for example, the development of robust 546 fitting techniques able to recover material reflectance information independently from shape. 547 Apart from the difficulty in modeling reflectance, releasing hypotheses of Lambertian behavior, 548 it is also necessary to consider that pixel information is not always depending only on local shape 549 and reflectance, but also to global effects like inter-reflections and projected shadows. The use 550 of classic outlier removal procedures, proposed in previous works [43], may be problematic due 551 to the relatively low number of samples and more specific heuristics for outlier rejection may be 552 more effective. 553

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(a) Non corrected

(b) Corrected

Figure 18: Relighting with  $l_x = 0$ ,  $l_y = 0$  and specular enhancement of PTMs estimated on the non-corrected appearance profile array (a) and corrected appearance profile array (b) coming from the acquisition of Fig. 2. The second one shows much better the relief of the brush strokes and the painting style.



Figure 19: Painting details relighted from the same direction  $(l_x = 0, l_y = 0)$  using (a) interpolation based on PTM coefficients (b) Radial Basis Functions interpolation on APA data. Material differences and relief details are not visible on the PTM visualization while are correctly perceived in the direct relighting.

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